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8 UNITED STATES DISTRICT COURT
9 SOUTHERN DISTRICT OF CALIFORNIA
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11 WILLIAM JOHN DAUGHTERY, CDCR #F-) Civil No. 08cv0408-WQH (BLM)
12 79985,)
13 Plaintiff,) **REPORT AND RECOMMENDATION FOR**
14 v.) **ORDER GRANTING DEFENDANTS'**
15 DENNIS WILSON, et al.,) **MOTION FOR SUMMARY JUDGMENT**
16 Defendants.) **[Doc. No. 65] and DENYING**
17) **LEMUS' MOTION FOR SUMMARY**
18) **JUDGMENT [Doc. No. 82] and**
19) **ORDER DENYING PLAINTIFF'S**
20) **MOTION FOR PERSONNEL RECORDS**
21) **[Doc. No. 72]**
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29 This Report and Recommendation is submitted to United States
30 District Judge William Q. Hayes pursuant to 28 U.S.C. § 636(b) and
31 Local Civil Rules 72.1(c) and 72.3(f) of the United States District
32 Court for the Southern District of California.

33 On March 3, 2008, Plaintiff William John Daughtery, a state
34 prisoner proceeding *pro se* and *in forma pauperis*, filed this civil
35 rights suit against Defendants Wilson, Tagaban, Griffin and Lemus
36 (collectively "the Defendants") under 42 U.S.C. § 1983. Doc. No.
37 1. On November 19, 2008, Defendants filed a Motion for Summary
38 Judgment.

Judgment ("Officers' Mot. for Summ. J.").¹ Doc. No. 65. On January 9, 2009, Defendant Lemus filed an individual Motion for Summary Judgment ("Lemus' Mot. for Summ. J.").² Doc. No. 82.

The Court has considered Plaintiff's First Amended Complaint [Doc. No. 25] ("FAC"), the Officers' Motion [Doc. No. 65], Plaintiff's opposition to the Officers' Motion [Doc. No. 95] ("Pl.'s Opp'n to Officers' Mot."), Defendants' reply [Doc. No. 98] ("Reply on Officers' Mot."), Plaintiff's sur-reply [Doc. No. 103] ("Pl.'s Sur-reply on Officers' Mot."), Defendants' sur-reply [Doc. No. 108] ("Officers' Sur-reply"), Lemus' Motion [Doc. No. 82], Plaintiff's opposition to Lemus' Motion [Doc. No. 87] ("Pl.'s Opp'n to Lemus' Mot."), Lemus' reply [Doc. No. 96] ("Reply on Lemus' Mot."), Plaintiff's sur-reply to Lemus' Motion [Doc. No. 106] ("Pl.'s Sur-reply on Lemus' Mot."), and Lemus' Sur-reply [Doc. No. 107] ("Lemus' Sur-reply"). For the following reasons, the Court **RECOMMENDS** that the Officers' Motion be **GRANTED** and Lemus' Motion be **DENIED**.

BACKGROUND

A. Factual Background

This case stems from a March 9, 2006 "buy bust" operation by the San Diego Police Department. Aff. of Pl. Opposing Officers'

¹ At that time, Defendant Wilson had not been served with the Complaint, but joined the motion as an "un-served, non-party defendant." See Officers' Mot. for Summ. J. at 8. Wilson was served on January 7, 2009. Doc. No. 79. On February 17, 2009, Wilson filed his answer to Plaintiff's First Amended Complaint [Doc. No. 97] and the Court subsequently granted Wilson's request to properly join the Officers' Motion [Doc. No. 100].

² After the summary judgment motions were filed and again after Defendant Wilson joined in the Officers' Motion, the Court provided Plaintiff with notification of the requirements for opposing summary judgment pursuant to Rand v. Rowland, 154 F.3d 952 (9th Cir. 1998) (en banc) and Klinge v. Eikenberry, 849 F.2d 409 (9th Cir. 1988). Doc. Nos. 84 and 101.

1 Mot. for Summ. J. [Doc. No. 103-2] ("Pl.'s Aff.") at 2.³ That
 2 evening, Detective Lemus was operating in an undercover capacity
 3 attempting to purchase narcotics from street level dealers in an
 4 area known for drug trafficking activity. Decl. of Roberto Lemus
 5 Supporting Lemus' Mot. for Summ. J. [Doc. No. 82-3] ("Lemus' Decl.")
 6 at ¶ 4. Other members of the San Diego Police Department's Central
 7 Narcotics Division were in communication with Lemus and located
 8 nearby. Id. Lemus approached Plaintiff and purchased "rock"
 9 cocaine from him for twenty dollars. Id. ¶ 5. Lemus then walked
 10 away and communicated a description of Plaintiff to the other
 11 officers. Id. ¶¶ 5-6. Lemus states that he did not lose sight of
 12 Plaintiff until he saw a marked police car approach Plaintiff. Id.
 13 ¶¶ 7-8.

14 The parties agree that the officer who arrived and first
 15 contacted Plaintiff was Officer Wilson. FAC at 4; Reply on
 16 Officers' Mot., Ex. E [Doc. No. 98-3] ("Ct. Appeal Order")⁴ at 6.
 17 Wilson took Plaintiff to the ground and, with his arm around
 18 Plaintiff's throat, ordered Plaintiff to spit out what was in his
 19 mouth. FAC at 4; Ct. Appeal Order at 6-7. Plaintiff refused to do
 20 so. Ct. Appeal Order at 7. Shortly thereafter, Officer Tagaban
 21 arrived and struck Plaintiff in the shoulder repeatedly with her
 22 flashlight. Id.; FAC at 4-5. The officers subsequently arrested
 23 Plaintiff. Id.

26 ³ Due to some discrepancies in the pagination of documents, the Court
 27 cites to the page numbers affixed to the top of the page by the Court's
 electronic filing system.

28 ⁴ As discussed *supra*, the Court grants Defendants' request to take
 judicial notice of the Court of Appeal's opinion.

1 **1. Plaintiff's Contentions**

2 Plaintiff contends that "without giving any orders or any
3 preamble, Wilson exited his car, walked up to [Plaintiff] and
4 grabbed [him] by the front of the throat." Pl.'s Aff. at 2.
5 According to Plaintiff, Wilson "exerted strong pressure" on
6 Plaintiff's throat and then "adjusted his grip and moved to a
7 position where he continued choking [Plaintiff] from behind." Id.
8 Wilson then kicked Plaintiff's legs out from under him and tripped
9 him to the ground. Id. Plaintiff states that he "neither provoked,
10 instigated or resisted the attack." Id. at 4. Yet, despite his
11 complete submission, Wilson demanded he spit out any possible
12 evidence he had in his mouth and banged Plaintiff's forehead on the
13 concrete sidewalk for approximately two minutes. Id. at 2-3.

14 At that point, Tagaban arrived in full uniform and driving a
15 marked police vehicle. Id. at 3. Plaintiff submits that Tagaban
16 immediately began to beat his head and left shoulder approximately
17 twelve times with a large metal flashlight and that Officers Wilson
18 and Tagaban shouted "spit it out" at him during the alleged beating.
19 Id. Thereafter, Plaintiff "lost consciousness from the combined
20 beating and choking." Id. He also contends he suffered serious
21 injury to his forehead, knees, elbows, left shoulder and neck. Id.
22 at 2.

23 During this altercation, Plaintiff asserts that Lemus and
24 Griffin were near the opposite side of the intersection, but neither
25 took any action "to halt the vicious beating." Id. at 3-4.

26 **2. Defendants' Contentions**

27 According to Defendants, upon arriving at the scene, Wilson
28 used his car to block Plaintiff's movement and ordered him to stop

1 but Plaintiff refused to do so. Ct. Appeal Order at 6. Wilson
2 observed that Plaintiff had quickened his pace and appeared to be
3 chewing on something so Wilson grabbed Plaintiff and took him to the
4 ground. Id. Wilson placed his arm around Plaintiff's neck so that
5 he could apply a carotid restraint and disarm Plaintiff if he had
6 a weapon (Plaintiff's hands were underneath him at that time). Id.
7 Because Wilson believed Plaintiff was chewing drugs in an attempt
8 to destroy evidence, Wilson ordered Plaintiff to spit them out. Id.
9 at 6-7.

10 When Tagaban arrived, she saw that Plaintiff was resisting
11 arrest and that she could not see his hands so she ordered him to
12 put his hands behind his back. Id. at 7. "To distract him into
13 complying with her commands," she twice struck him on the shoulder
14 with her flashlight. Id. When he refused to comply, she struck him
15 several more times. Id. Plaintiff then spit out a chewed plastic
16 bindle but still refused to put his hands behind his back so Tagaban
17 struck him two more times. Id. The officers were then able to
18 handcuff Plaintiff. Id. They subsequently pried from Plaintiff's
19 hand a prerecorded \$20 bill used by Lemus in the drug transaction.
20 Id.

21 **B. Procedural History**

22 Plaintiff was charged with one count of selling or furnishing
23 a controlled narcotic substance and one count of possession or
24 purchase of cocaine base for sale. Lodgment of Evid. Supporting
25 Officers' Mot. for Summ. J., Ex. A ("Criminal Compl.") at 5.
26 Plaintiff filed a pre-trial motion to suppress evidence under
27 California Penal Code § 1538.5 "for certain tangible and intangible
28 things seized" from him without a warrant and in violation of the

1 Fourth Amendment. Id., Ex. B ("Order Denying Mot. to Suppress") at
2 9. The matter was fully briefed and the San Diego County Superior
3 Court conducted a two-day hearing. Id. During the hearing, four
4 officers from the San Diego Police Department testified and
5 presented the prosecution's theory of the case. Id. Plaintiff and
6 four other witnesses, including an eye-witness to the alleged
7 beating, also testified and they presented the Plaintiff's theory.
8 Id.; Pl.'s Aff. at 5. The court made crucial credibility determina-
9 tions and then denied the motion to suppress evidence in a written
10 opinion. Order Denying Mot. to Suppress at 9-13. Plaintiff
11 subsequently was found guilty of both counts and sentenced to a term
12 of eight years in prison. Ct. Appeal Order at 4-5.

13 Plaintiff appealed to the California Court of Appeal, Fourth
14 Appellate District, Division One challenging the denial of the
15 section 1538.5 motion to suppress evidence and a related motion in
16 limine. Id. The court affirmed the judgment. Id. at 18.

17 Plaintiff filed the instant civil rights case on March 3, 2008.
18 Doc. No. 1. In his FAC, Plaintiff alleges that on March 9, 2006,
19 Officers Wilson and Tagaban violated his constitutional rights when
20 they used excessive force to arrest him. FAC at 4-6. He further
21 alleges that Sergeant Griffin and Detective Lemus were "integral
22 participant[s]" in the allegedly unlawful beating because they
23 witnessed the attack but did nothing to intervene. Id. at 2, 5.

24 LEGAL STANDARD

25 Summary judgment is appropriate if there is no genuine issue
26 as to any material fact, and the moving party is entitled to a
27 judgment as a matter of law. Fed. R. Civ. P. 56(c). The moving
28 party has the initial burden of demonstrating that summary judgment

1 is proper. Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986). The
2 burden then shifts to the opposing party to provide admissible
3 evidence beyond the pleadings to show that summary judgment is not
4 appropriate. Id. at 322-24. The opposing party "may not rest upon
5 mere allegation or denials of his pleading, but must set forth
6 specific facts showing that there is a genuine issue for trial."
7 Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 256 (1986). "A fact
8 or issue is genuine 'if the evidence is such that a reasonable jury
9 could return a verdict for the nonmoving party.'" Villiarimo v.
10 Aloha Island Air, Inc., 281 F.3d 1054, 1061 (9th Cir. 2002) (quoting
11 Anderson, 477 U.S. at 248). The court may not weigh evidence or
12 make credibility determinations on a motion for summary judgment;
13 rather, the inferences to be drawn from the underlying facts must
14 be viewed in the light most favorable to the nonmoving party.
15 Anderson, 477 U.S. at 255; Fairbank v. Wunderman Cato Johnson, 212
16 F.3d 528, 531 (9th Cir. 2000).

17 Section 1983 imposes two essential proof requirements upon a
18 claimant: (1) that a person acting under color of state law
19 committed the conduct at issue, and (2) that the conduct deprived
20 the claimant of some right, privilege, or immunity protected by the
21 Constitution or laws of the United States. See 42 U.S.C. § 1983;
22 Parratt v. Taylor, 451 U.S. 527, 535 (1981), overruled on other
23 grounds by Daniels v. Williams, 474 U.S. 327, 328 (1986). A person
24 deprives another "of a constitutional right, within the meaning of
25 section 1983, if he does an affirmative act, participates in
26 another's affirmative acts, or omits to perform an act which he is
27 legally required to do that causes the deprivation of which [the
28 plaintiff complains]." Johnson v. Duffy, 588 F.2d 740, 743 (9th

1 Cir. 1978). "The inquiry into causation must be individualized and
 2 focus on the duties and responsibilities of each individual
 3 defendant whose acts or omissions are alleged to have caused a
 4 constitutional deprivation." Leer v. Murphy, 844 F.2d 628, 633 (9th
 5 Cir. 1988); Berg v. Kincheloe, 794 F.2d 457, 460 (9th Cir. 1986).

6 DISCUSSION

7 In the Officers' Motion, Defendants argue that judgment in
 8 their favor is warranted on three grounds. Officers' Mot. for Summ.
 9 J.⁵ at 9-11. First, Defendants contend that the merits of Plain-
 10 tiff's constitutional claims were adjudicated in the California
 11 Superior Court and the California Court of Appeal. Id. at 9-10.
 12 Thus, Defendants argue that Plaintiff is barred from relitigating
 13 his constitutional claims in this case under the doctrines of issue
 14 and claim preclusion. Id. Second, Defendants submit that Plain-
 15 tiff's Fourteenth Amendment claim fails as a matter of law because
 16 the claim should have been pled and analyzed under the Fourth
 17 Amendment. Id. at 10-11. Finally, Defendants argue that they are
 18 entitled to qualified immunity given the substantial authority
 19 granted to police officers at the scene of an arrest. Id. at 11.

20 In his individual motion, Lemus contends that Plaintiff's
 21 failure to intercede claim fails as a matter of law because Lemus
 22 was not present during the alleged use of excessive force and,
 23 therefore, did not have the opportunity to intercede. Lemus' Mot.
 24 for Summ. J. at 5.

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 27 ⁵ In citing to the "Officers' Mot. for Summ. J." or "Lemus' Mot. for
 28 Summ. J.," the Court is indicating the respective memoranda accompanying these
 motions.

1 **A. Judicial Notice**

2 As an initial matter, Defendants request that the Court take
3 judicial notice under Federal Rule of Evidence 201 of the "Complaint
4 and Information, Order Denying Defendant's Motion to Suppress, Jury
5 Verdict and Sentencing Order in People v. Daughtery, California
6 Superior Court Case No. SDC 197549, and the Court of Appeal Opinion
7 regarding that California criminal case and proceeding." Defs.'
8 Req. for Jud. Notice Supporting Officers' Mot. for Summ. J. at 1.
9 Generally, courts "will not consider facts outside the record
10 developed before the district court." United States ex rel.
11 Robinson Rancheria Citizens Council v. Borneo, Inc., 971 F.2d 244,
12 248 (9th Cir. 1992). However, courts "may take notice of proceed-
13 ings in other courts, both within and without the federal judicial
14 system, if those proceedings have a direct relation to the matters
15 at issue." Id. (quoting St. Louis Baptist Temple, Inc. v. FDIC, 605
16 F.2d 1169, 1172 (10th Cir. 1979) (internal quotations omitted)).
17 Because the issues and claims adjudicated in the state court are
18 "directly related" to the instant case, the Court takes judicial
19 notice of the state court proceedings in People v. Daughtery,
20 California Superior Court Case No. SCD 197549, and People v.
21 Daughtery, Court of Appeal Case No. D051313. See Holder v. Holder,
22 305 F.3d 854, 866 (9th Cir. 2002) (taking judicial notice of prior
23 state court proceedings in determining whether to apply issue and
24 claim preclusion).

25 **B. Issue and Claim Preclusion as to Plaintiff's Claim of Exces-**
26 **sive Use of Force During the March 9, 2006 Arrest**

27 In Graham v. Connor, 490 U.S. 386, 394 (1989), the Supreme
28 Court held that an excessive force claim arising in the context of

1 an arrest "is most properly characterized as one invoking the
2 protections of the Fourth Amendment, which guarantees citizens the
3 right 'to be secure in their persons . . . against unreasonable .
4 . . seizures.'" Accordingly, the Court held that "all claims that
5 law enforcement officers have used excessive force-deadly or not-
6 in the course of an arrest . . . should be analyzed under the Fourth
7 Amendment and its 'reasonableness' standard." Graham, 490 U.S. at
8 395 (emphasis in original). The Court went on to explain that,
9 "[a]s in other Fourth Amendment contexts, however, the reasonable-
10 ness' inquiry in an excessive force case is an objective one: the
11 question is whether the officers' actions are 'objectively reason-
12 able' in light of the facts and circumstances confronting them,
13 without regard to their underlying intent or motivation." Id. at
14 397. In his FAC, Plaintiff contends that Defendants used excessive
15 force in the course of his arrest in violation of his Fourth
16 Amendment rights. FAC at 4. Thus, in evaluating whether the
17 doctrines of issue and/or claim preclusion apply, this Court must
18 determine whether the California state courts rendered a final
19 judgment on the "reasonableness" of the force used in effectuating
20 Plaintiff's arrest under the Fourth Amendment.

21 Generally, federal courts afford the same full faith and credit
22 to state court judgments as would apply in the state's own courts.
23 28 U.S.C. § 1738; Kremer v. Chem. Constr. Corp., 456 U.S. 461, 466
24 (1982). In doing so, federal courts utilize the doctrines of issue
25 preclusion and claim preclusion:

26 Under the doctrine of claim preclusion, a final judgment
27 forecloses 'successive litigation of the very same claim,
28 whether or not relitigation of the claim raises the same
issues as the earlier suit.' Issue preclusion, in
contrast, bars 'successive litigation of an issue of fact

or law actually litigated and resolved in a valid court determination essential to the prior judgment,' even if the issue recurs in the context of a different claim.⁶

Taylor v. Sturgell, 128 S. Ct. 2161, 2171 (2008) (internal citations omitted). "Application of both doctrines is central to the purpose for which civil courts have been established, the conclusive resolution of disputes within their jurisdictions." Montana v. United States, 440 U.S. 147, 153 (1979). Because federal courts must give preclusive effect to state court judgments whenever the state courts would do so, it necessarily follows that state law governs the application of claim preclusion and issue preclusion. See Migra v. Warren City Sch. Dist. Bd. of Educ., 465 U.S. 75, 81 (1984); Holcombe v. Hosmer, 477 F.3d 1094, 1097 (9th Cir. 2007). Accordingly, this Court looks to California law to determine the applicability of issue and claim preclusion to the instant action.

1. Issue Preclusion

The California courts apply issue preclusion where the following five factors are met: (1) the issue to be precluded is identical to one decided in a prior proceeding, (2) the issue was actually litigated, (3) the issue was necessarily decided, (4) the decision in the prior proceeding was final and on the merits, and (5) the party against whom preclusion is sought was a party, or in privity with a party, to the former proceeding. People v. Garcia, 39 Cal. 4th 1070, 1077 (2006) (citing Lucido v. Superior Court, 51

⁶ The Supreme Court has explained that the terms "issue preclusion" and "claim preclusion" "have replaced a more confusing lexicon." Taylor v. Sturgell, 128 S. Ct. 2161, 2171 n.5 (2008). Claim preclusion refers to the doctrine formerly known as "res judicata" and issue preclusion refers to the doctrine formerly known as "collateral estoppel." Res judicata also has been used as a general term encompassing both doctrines. See Allen v. McCurry, 449 U.S. 90, 94 n.5 (1980).

Cal. 3d 335, 341 (1990)); McCutchen v. City of Montclair, 73 Cal. App. 4th 1138, 1145 (4th Dist. 1999). Here, Plaintiff litigated his Fourth Amendment excessive force claim in a section 1538.5 motion to suppress evidence before the San Diego County Superior Court. Order Denying Mot. to Suppress at 9. Issue preclusion bars relitigation of Plaintiff's Fourth Amendment claim in this case because the five requirements are satisfied.

a. Plaintiff's Fourth Amendment claim is identical to the Fourth Amendment issue decided in the state court proceeding

The first factor requires that the issue raised in the instant federal proceeding be the same as that decided during the suppression hearing. See Garcia, 39 Cal. 4th at 1077. In state court, Plaintiff argued that Officers Wilson and Tagaban used excessive force in the course of arresting Plaintiff and obtaining evidence from his person and, therefore, violated his Fourth Amendment rights. Order Denying Mot. to Suppress at 9-10; Ct. Appeal Order at 11-13. In his FAC, Plaintiff again contends that Officers Wilson and Tagaban used excessive force in the course of his arrest in violation of his Fourth Amendment rights.⁷ FAC at 4. Thus, it appears this factor is satisfied.

Nevertheless, Plaintiff submits that the issues are not identical because the criminal court applied a "shocks the conscious" standard, whereas this Court must apply the "reasonableness"

⁷ While Plaintiff does not mention the seizure of evidence in his FAC, the alleged excessive force is the same. That is, in both proceedings, Plaintiff complains about the excessive force used by the officers to arrest him and to obtain evidence from his person. FAC at 4-7; Order Denying Mot. to Suppress at 9-13; Ct. Appeal Order at 11-13. In state court, Plaintiff argues that the illegal conduct should result in the suppression of evidence (drugs from his mouth and money from his hand) whereas in the federal court he argues that it constitutes a violation of his civil rights. However, both cases involve the same conduct and the same Fourth Amendment excessive force analysis. Id.

1 standard. Id. at 6. Plaintiff is incorrect. The record before
 2 this Court establishes that the San Diego County Superior Court and
 3 the California Court of Appeal both adjudicated the officers' use of
 4 force according to the Fourth Amendment "reasonableness" standard.
 5 In its order denying Petitioner's motion to suppress, the superior
 6 court applied the standard set forth in People v. Cappellia, 208
 7 Cal. App. 3d 1331, 1338 (4th Dist. 1989), which relies on Supreme
 8 Court authority directing courts to consider whether the force used
 9 was "'reasonable' under the circumstances." Order Denying Mot. to
 10 Suppress at 11. The Court of Appeal likewise relied on Cappellia in
 11 framing its analysis, explaining that "[t]o be constitutional, the
 12 force used to recover evidence from a person must be reasonable
 13 under the circumstances." Ct. Appeal Order at 12. Plaintiff's
 14 argument, therefore, fails.⁸

15 In sum, because the factual issues and the applied standards
 16 of analysis are identical, the Court determines that the issue
 17 identity requirement is satisfied in this case.

18 **b. Plaintiff's Fourth Amendment claim was actually**
 19 **litigated**

20 The Court next must consider whether Plaintiff's claim was
 21 actually litigated during the suppression hearing. See Garcia, 39

23 ⁸ While it is unclear from his briefing, Plaintiff may have concluded
 24 that the trial court applied the "shocks the conscience" standard because it
 25 cited to People v. Cappellia, which discusses the fact that courts, historically,
 26 did apply that standard. See Cappellia, 208 Cal. App. 3d at 1337. However, the
 27 Cappellia court subsequently confirms that "modern Supreme Court decisions are
 28 grounded on whether the search was 'reasonable' under the circumstances." Id.
 at 1338. This is because the Supreme Court has determined that excessive force
 claims arising in the context of an arrest should be characterized as invoking
 the protections of the Fourth Amendment (which applies a "reasonableness"
 standard) as opposed to more generalized "substantive due process" protections
 (which are evaluated using the "shocks the conscience" standard). Graham, 490
 U.S. at 393-94.

1 Cal. 4th at 1077. Under California law, an issue is "actually
2 litigated" when it is "properly raised, . . . submitted for
3 determination, and is determined." Murphy v. Murphy, 164 Cal. App.
4 4th 376, 400 (1st Dist. 2008) (quoting Barker v. Hull, 191 Cal. App.
5 3d 221, 226 (1st Dist. 1987)). When the specific question presented
6 is whether the doctrine of issue preclusion can be applied in a
7 civil case to issues determined as part of a prior section 1538.5
8 ruling, the Court also should consider whether the prior conviction
9 was for a serious offense such that the defendant was motivated to
10 fully litigate the charges. McGowan v. City of San Diego, 208 Cal.
11 App. 3d 890, 894-895 (4th Dist. 1989). An accused may plead guilty
12 to a traffic offense, for instance, because it would be more trouble
13 to defend against the charges than to suffer the penalty. See
14 Leader v. State, 182 Cal. App. 3d 1079, 1087 (2d Dist. 1986). On
15 the other hand, offenses punishable by imprisonment generally should
16 be considered serious offenses. Id.

17 Here, Plaintiff argues that his claim of "excessive force as
18 violative [sic] of personal bodily integrity or right to be free
19 from harm was not 'actually litigated.'" Pl.'s Opp'n to Officers'
20 Mot. at 15 (emphasis in original). However, the superior court's
21 order reflects that Plaintiff properly raised a Fourth Amendment
22 excessive force challenge in a section 1538.5 motion to suppress
23 evidence. Order Denying Mot. to Suppress at 9. Both parties fully
24 briefed the issue and the state court conducted a two-day hearing,
25 involving testimony from four police officers, Plaintiff, and four
26 other witnesses on Plaintiff's behalf. Id. Plaintiff does not
27 refute this summary of the state court proceedings, nor has he
28 presented any admissible evidence that was not available to the

1 superior court which would have been material to its determination.
2 Furthermore, Plaintiff unquestionably had the motivation to fully
3 litigate the issue during the hearing because Plaintiff was facing
4 serious felony charges carrying significant potential prison
5 sentences and evidence obtained by use of excessive force would have
6 been suppressed, see Cal. Penal Code § 1538.5(d). Finally, the
7 court actually determined the issue when it denied Plaintiff's
8 motion to suppress evidence, concluding that "[u]nder the circum-
9 stances, the officers used reasonable force in obtaining the cocaine
10 from the defendant's mouth and the \$20 bill from his closed fist."
11 Order Denying Mot. to Suppress at 11; Ct. Appeal Order at 9, 13
12 (trial court did not err when it concluded that Wilson and Tagaban
13 did not use excessive force when arresting and searching Plaintiff).
14 Thus, the Court concludes that the issue of whether the officers
15 used excessive force against Plaintiff in violation of the Fourth
16 Amendment was "actually litigated."

17 **c. Plaintiff's Fourth Amendment claim was necessarily**
18 **decided**

19 The parties do not dispute that the excessive force issue was
20 necessarily decided during the suppression hearing and the Court
21 concurs in this assessment. An issue is "necessarily decided" when
22 resolution of that issue is "not 'entirely unnecessary' to the
23 judgment in the prior proceeding." Murphy, 164 Cal. App. 4th at 400
24 (quoting Castillo v. City of Los Angeles, 92 Cal. App. 4th 477, 482
25 (2d Dist. 2001)). The necessity of determining the excessive force
26 issue in this case is apparent because any evidence obtained through
27 the use of excessive force would have been suppressed and, thus,
28 rendered inadmissible during Plaintiff's criminal trial. See Cal.

1 Penal Code § 1538.5(d). Thus, a final determination of the alleged
2 constitutional violation was necessary for the parties to proceed in
3 the criminal case.

4 **d. There was a final judgment on the merits of Plain-**
5 **tiff's Fourth Amendment claim in state court**

6 The initial question presented by this prong is whether a
7 ruling on a motion to suppress evidence may constitute a final
8 judgment on the merits for purposes of issue preclusion. In Allen
9 v. McCurry, 449 U.S. 90 (1980), the Supreme Court was presented with
10 facts very similar to those presented in this case and answered that
11 question in the affirmative. Before his criminal trial in state
12 court, McCurry filed a motion to suppress evidence, alleging that
13 officers violated the Fourth Amendment by conducting an unlawful
14 search and seizure. Allen, 449 U.S. at 91-92. The motion was
15 partially denied. Id. McCurry subsequently filed a federal civil
16 action pursuant to 42 U.S.C. § 1983 against several of the arresting
17 officers alleging, among other things, that the officers conducted
18 an unconstitutional search and seizure. Id. at 92. The district
19 court granted summary judgment, holding that collateral estoppel
20 (issue preclusion) "prevented McCurry from relitigating the
21 search-and-seizure question already decided against him in the state
22 courts." Id. at 92-93. Following a reversal by the appellate
23 court, the Supreme Court concluded that litigation of an issue
24 during a state suppression hearing may, in fact, preclude
25 relitigation of the same issue in a subsequent federal civil rights
26 action. Id. at 93, 103-05.

27 California law, to which this Court looks for guidance in
28 applying the doctrines of issue and claim preclusion, see Migra, 465

U.S. at 82 and Holcombe, 477 F.3d at 1097, also provides that a suppression hearing may constitute a final proceeding such that a litigant may be barred from relitigating in a subsequent civil suit a claim decided on a motion to suppress, McGowan, 208 Cal. App. 3d at 895⁹. In applying California law, the Ninth Circuit has cited McGowan with approval. See Ayres v. City of Richmond, 895 F.2d 1267, 1272 (9th Cir. 1990) (applying McGowan and affirming application of issue preclusion to bar relitigation of Fourth Amendment claim raised in a section 1538.5 suppression hearing).

The question then is whether the trial court's ruling on Plaintiff's excessive force claim during the suppression hearing in this case constituted a final decision on the merits for issue preclusion purposes. Under California law, a "final judgment" is a decision immune from reversal or amendment. People v. Cooper, 149

⁹ Plaintiff maintains erroneously that McGowan prevents application of issue preclusion to this case. See Pl.'s Opp'n to Officers' Mot. at 8, 14, 16. However, the case holds otherwise. Like Plaintiff, McGowan claimed that San Diego police officers violated his Fourth Amendment rights and filed a motion to suppress evidence under California Penal Code section 1538.5. McGowan, 208 Cal. App. 4th at 894-95. The motion was denied. Id. at 895. McGowan subsequently filed a civil rights case under 42 U.S.C. § 1983. Id. The McGowan court evaluated the general principles supporting application of issue preclusion and held that "the doctrine of [issue preclusion] may apply to subsequent civil actions based upon rulings pursuant to section 1538.5 motions to suppress evidence." Id. Though Plaintiff is correct that the McGowan court ultimately did not find that McGowan's suppression hearing precluded relitigation in his subsequent civil case, the facts of McGowan are distinguishable from the instant case. During the suppression hearing, McGowan alleged that defendants used excessive force in drawing his blood after his arrest. Id. at 894. In the civil case, he alleged causes of action for false arrest, false imprisonment, assault and battery. Id. at 893. The McGowan court determined that the issues raised in the civil case were not identical to those adjudicated during the suppression hearing because McGowan's allegations in the civil case also involved actions defendants took before and after the blood draw (and for which a different standard of review would be applied). See id. at 893-97. Here, Plaintiff raised excessive force claims in both courts and, in both instances, the claims pertain to the same incident (application of force during his arrest and the retrieval of the cocaine from his mouth and money from his hand). As discussed in more detail in the body of this order, this Court applies the same reasonableness standard applied by the trial court. Accordingly, this Court rejects Plaintiff's argument that McGowan mitigates against application of issue preclusion in this case.

1 Cal. App. 4th 500, 521 (Cal. Ct. App. 2007). "Factors supporting a
2 conclusion that a decision is final for [issue preclusion]
3 purpose[s] are 'that the parties were fully heard, that the court
4 supported its decision with a reasoned opinion, [and] that the
5 decision was subject to appeal or was in fact reviewed on appeal.'" People v. Meredith, 11 Cal. App. 4th 1548, 1557 n.5 (2d Dist. 1993).
6 Here, the parties had a full and fair opportunity to be heard at the
7 two-day suppression hearing and the state court issued a reasoned
8 opinion. See Order Denying Mot. to Suppress at 9-13. Plaintiff
9 appealed the judgment and specifically challenged the trial court's
10 ruling on his motion to suppress evidence. Ct. Appeal Order at 5.
11 In its decision affirming the judgment, the California Court of
12 Appeal thoroughly analyzed the officers' use of force during
13 Plaintiff's arrest and the collection of evidence. Id. at 4-18.
14 Thus, the Court finds that the state court's decision on the merits
15 denying Plaintiff's Fourth Amendment claim was final and bars
16 relitigation of this issue.

18 e. The party against whom issue preclusion is as-
19 serted was a party to the prior state court pro-
20 ceeding

21 Defendants seek to preclude Plaintiff from relitigating his
22 Fourth Amendment claim of excessive use of force. Here, Plaintiff
23 does not dispute that he was the defendant in the criminal trial at
24 issue or that he filed the motion to suppress evidence. The record
25 confirms his participation. See, e.g., Criminal Compl. at 4; Order
26 Denying Mot. to Suppress at 9-13. Accordingly, the Court concludes
27 that the identity of the parties requirements has been satisfied.

f. Plaintiff's policy arguments do not foreclose application of issue preclusion to this case

In his opposition, Plaintiff argues that even if the requirements for issue preclusion are satisfied, the Court should not apply issue preclusion because "'considerations of policy or fairness outweigh the doctrine's purposes'" in this case. Pl.'s Opp'n to Officers' Mot. at 12 (quoting Zevnik v. Superior Court, 159 Cal. App. 4th 76, 82 (2d Dist. 2008)). However, Plaintiff has not presented any factual evidence suggesting that the state court made an incomplete or unfair decision. Plaintiff claims to be mentally and physically disabled, but has not provided any admissible evidence showing that his disabilities prevented a fair determination of his constitutional claim in state court. Pl.'s Sur-reply to Officers' Mot. at 4. As previously discussed, the state court suppression hearing provided a full and fair opportunity for Plaintiff to litigate his claim. See Order Denying Mot. to Suppress at 9-13; Supporting Documents to Pl.'s Opp'n to Officers' Mot. [Doc. No. 95-2], Preliminary Hearing Transcript ("Prelim. Hearing Tr.") at 60-70¹⁰. That the state court discredited the testimony of Plaintiff's witnesses after properly weighing the evidence does not render the proceeding fundamentally unfair. See Order Denying Mot. to Suppress at 9-13. Accordingly, the Court finds that Plaintiff has failed to raise any material issues of fact that would justify relitigation of this case.

Plaintiff also argues that issue preclusion cannot be applied

¹⁰ This portion of the preliminary hearing transcript was read into the record as Lemus' testimony for the suppression hearing. Ct. Appeal Order at 5 n.2. Lemus also apparently provided live testimony. Id.

1 in this case because Lemus provided perjured testimony at the
 2 suppression hearing in order to ensure Plaintiff's conviction.
 3 Supporting Documents to Pl.'s Opp'n to Officers' Mot., Exhibit
 4 Explanation at 2 (citing Teitelbaum Furs, Inc. v. Dominion Ins. Co.,
 5 58 Cal. 2d 601, 607 (1962) (noting that collateral estoppel should
 6 not be applied where the criminal judgment is subject to collateral
 7 attack on the ground that it was obtained through the knowing use of
 8 perjured testimony)). Specifically, Plaintiff claims that the "tac
 9 tape" transcript shows that "contrary to Officer Lemus' testimony,
 10 suspect was out of sight, 'lost' and arrested person (Plaintiff) did
 11 not match description of pursued suspect." Id. Additionally,
 12 Plaintiff claims Lemus testified at the suppression hearing that he
 13 did not lose sight of Plaintiff but then stated in the declaration
 14 he provided in conjunction with his motion for summary judgment that
 15 he did not see the arrest/assault. Id. at 2-3.

16 The Court has reviewed Lemus' hearing testimony (which actually
 17 was taken during the preliminary hearing and read into the record at
 18 the suppression hearing) (Prelim. Hearing Tr. at 52-69), the excerpt
 19 of the "tac tape" provided by Plaintiff¹¹ [Doc. No. 95-2 at 45-47]

20
 21 ¹¹ Defendants object to the consideration of the "tac tape" transcript
 22 on the grounds that it is irrelevant, presents inadmissible hearsay, and does not
 23 have proper authentication and foundation. Reply on Officers' Mot. at 2.
 24 Initially, the Court finds that the transcript is relevant to Plaintiff's
 25 arguments. As to the other objections, the Court notes that it would be an abuse
 26 of discretion to refuse to consider evidence offered by a *pro se* plaintiff for
 27 the purpose of avoiding summary judgment. See Jones v. Blanas, 393 F.3d 918,
 28 930-31 (9th Cir. 2004) (reversing and remanding with instructions to consider
 evidence offered by the *pro se* plaintiff in his objections to findings and
 recommendations); Johnson v. Meltzer, 134 F.3d 1393, 1399-1400 (9th Cir. 1998)
 (reversing and remanding for consideration of the *pro se* plaintiff's verified
 motion as an affidavit in opposition to summary judgment). In order to survive
 a motion for summary judgment, a *pro se* party is not required to produce evidence
 in a form that will be admissible at trial and need only offer evidence that may
 be transformed into admissible evidence at trial. Fraser v. Goodale, 342 F.3d
 1032, 1036-37 (9th Cir. 2003); cert. denied, 541 U.S. 937 (2004) (holding that
 the district court properly considered a diary which defendants moved to strike
 as inadmissible hearsay because "[a]t the summary judgment stage, we do not focus

1 ("Tac Tape Tr."), and the declaration Lemus provided in conjunction
2 with his motion for summary judgment ("Lemus' Decl.") and does not
3 find them inconsistent. At the suppression hearing, Lemus testified
4 that he identified the person who sold him the drugs as a black male
5 wearing a dark jacket and walking east on 1400 J Street. Prelim.
6 Hearing Tr. at 55. Lemus explained that after the sale, he walked
7 away from Plaintiff, but did not lose sight of him. He was
8 approximately one and a half blocks away when the uniformed
9 officers, or "scoop units," arrived to arrest Plaintiff. Id. at 55-
10 67. Another officer subsequently picked up Lemus and drove him past
11 the arrest scene where he identified Plaintiff as the person who
12 sold him drugs. Id.

13 The tac tape transcript is a two page document apparently
14 containing "conversation recorded on March 9, 2006 at 1949 on
15 channel 32." Tac Tape Tr. at 46-47. It sets forth statements made
16 by three police officers: Sgt. Griffin, Off. Chavez, and Off.
17 Spears. Id. The beginning part of the transcript indicates that
18 these officers lost sight of the suspect, whereas the end of the
19 transcript reflects that someone is being arrested by other
20 officers. Id. The transcript does not appear to contain state-
21 ments made by Lemus or to reflect his observations. Id.

22 Lemus' declaration in support of his summary judgment motion
23 states that he walked away from Plaintiff, but continued to observe
24

25 on the admissibility of the evidence's form. We focus instead on the
26 admissibility of its contents."). Therefore, Defendants' objections are
27 overruled for present purposes and the Court will consider the tac tape
28 transcript. For the same reasons, the Court also overrules Defendants' other
objections to Plaintiff's evidence. Reply on Officers' Mot. at 2-3; Reply on
Lemus' Mot. at 2. The Court has considered Plaintiff's evidence and given it
appropriate weight.

1 him until a marked police car approached Plaintiff. Lemus' Decl. ¶¶
2 7-8. Once Lemus "felt confident that the officer did contact
3 [Plaintiff]," he walked away and was picked up by an unmarked car
4 and driven back to the scene of the arrest. Id. ¶ 8. Lemus states
5 that he "did not observe any take down or other use of force against
6 Mr. Daughtery. [He] did not observe or witness any physical contact,
7 take down or use of force by Officer Wilson or Officer Tagaban."
8 Id. ¶ 10.

9 The three exhibits are not inconsistent. Lemus' testimony and
10 declaration both describe Lemus' efforts to ensure that the correct
11 person was arrested by observing the suspect until another officer
12 arrived. The tac tape does not present Lemus' observations or
13 impact his credibility in any way. Having considered the tran-
14 scripts and declaration in their entirety and in light of the
15 context presented, the Court finds that Plaintiff has not satisfied
16 his burden of showing a material factual inconsistency that would
17 support Plaintiff's perjury allegation and prevent application of
18 issue preclusion to this case.

19 Furthermore, even if the evidence Plaintiff presents did show
20 that Lemus perjured himself in regard to whether or not he directly
21 witnessed Plaintiff's arrest, it would not be a sufficient basis for
22 overcoming the application of issue preclusion because whether or
23 not Lemus witnessed the arrest was irrelevant to the trial court's
24 excessive force determination. Plaintiff is correct that the
25 California Supreme Court has held that "a criminal judgment that is
26 subject to collateral attack on the ground, for example, that it was
27 obtained through the knowing use of perjured testimony... is not res
28 judicata in a subsequent action." Teitelbaum Furs, 58 Cal. 2d at

1 608. But, in ruling on the excessive force issue in this case, the
2 trial court weighed the credibility of Officers Wilson and Tagaban
3 on the one hand against that of Plaintiff and his witnesses on the
4 other hand. Order Denying Mot. to Suppress at 11-13. The trial
5 court did not factor in Lemus' testimony that he saw the arrest, nor
6 could it have because Lemus did not elaborate at all as to whether
7 he observed the use of force. See Prelim. Hearing Tr. at 67. As
8 such, Plaintiff has not presented a genuine factual dispute as to
9 whether the suppression ruling was "obtained through the knowing use
10 of perjured testimony," Teitelbaum Furs, 58 Cal. 2d at 608. Stated
11 differently, the trial court's ruling is not subject to collateral
12 attack on this basis because even if Plaintiff's perjury claim was
13 true, the alleged perjured testimony did not impact the trial
14 court's conclusion that Defendants did not use excessive force. Id.

15 Accordingly, the Court finds that Plaintiff received a full and
16 fair opportunity to litigate his claim in state court, the five
17 requirements for applying issue preclusion have been met, and there
18 are no policy considerations which would make it inappropriate to
19 apply issue preclusion. Therefore, the Court holds that the issue
20 preclusion doctrine bars relitigation of Plaintiff's Fourth
21 Amendment excessive use of force claim.

22 2. Claim Preclusion

23 To the extent Plaintiff argues he may relitigate his excessive
24 use of force claim under an "alternative" constitutional right, any
25 such claim is barred by the claim preclusion doctrine. See Pl.'s
26 Opp'n to Officers' Mot. at 12; Pl.'s Sur-reply to Officers' Mot. at
27 6-7. In applying the doctrine of claim preclusion, "California has
28 consistently applied the 'primary rights' theory, under which the

1 invasion of one primary right gives rise to a single cause of
2 action." Branson v. Sun Diamond Growers, 24 Cal. App. 4th 327, 340
3 (3d Dist. 1994) (quoting Slater v. Blackwood, 15 Cal. 3d 791, 795
4 (1975)) (internal quotations omitted). Under the "primary rights
5 theory," the "'cause of action' is based upon the harm suffered, as
6 opposed to the particular theory asserted by the litigant. Even
7 where there are multiple legal theories upon which recovery might be
8 predicated, one injury gives rise to only one claim for relief."
9 Id. at 340-41 (internal citations omitted). "If the 'primary right'
10 sought to be vindicated in a subsequent litigation is the same as
11 that in an earlier suit, the second action will be claim precluded
12 under California law." Maldonado v. Harris, 370 F.3d 945, 952 (9th
13 Cir. 2004) (citing Mycogen Corp. v. Monsanto Co., 28 Cal. 4th 888,
14 904 (2002)).

15 Here, Plaintiff has alleged one injury - being subjected to
16 excessive use of force during his arrest - but has raised multiple
17 legal theories for recovery. First, Plaintiff contends that his
18 excessive use of force claim may be divided into two separate claims
19 under the Fourth Amendment: (1) a claim of excessive use of force in
20 violation of his right to be free from unreasonable search and
21 seizure and (2) a claim of excessive use of force in violation of
22 his right to be secure in his person. See, e.g., Pl.'s Sur-reply to
23 Officers' Mot. at 6. However, the primary right sought to be
24 vindicated under each theory - freedom from injury resulting from
25 excessive use of force during arrest - is identical to the claim
26 previously litigated in state court. Second, Plaintiff contends
27 that Defendants Griffin and Lemus violated his Fourth Amendment
28 rights because they "did nothing to halt the illegal acts" of

1 excessive use of force. FAC at 2, 5. But, Plaintiff's failure-to-
2 intercede claim presents yet another Fourth Amendment legal theory
3 upon which he seeks recovery for the same excessive use of force
4 injury. Although Plaintiff did not raise this legal theory in state
5 court, claim preclusion does not require actual litigation in prior
6 proceedings. See Holcombe, 477 F.3d at 1097 (citing Migra, 465 U.S.
7 at 83-85). Because the primary right sought to be vindicated is the
8 same as that in the prior proceedings, claim preclusion bars
9 relitigation of Plaintiff's failure-to-intercede claim in this
10 action.

11 For the foregoing reasons, the Court concludes that, to the
12 extent Plaintiff attempts to re-frame his excessive force claim
13 under various Fourth Amendment legal theories, these claims are
14 barred by the doctrine of claim preclusion. Where issue and claim
15 preclusion bar the relitigation of Plaintiff's claims, summary
16 judgment is appropriate. See Robi v. Five Platters, Inc., 918 F.2d
17 1439, 1441-42 (9th Cir. 1990) (citing Takahashi v. Bd. of Trustees
18 of Livingston Union Sch. Dist., 738 F.2d 848, 849 (9th Cir. 1986)).
19 Accordingly, the Court **RECOMMENDS** that the Officers' Motion for
20 Summary Judgment be **GRANTED**.

21 **3. Plaintiff's Rule 56(f) Motion**

22 In his sur-reply, Plaintiff requests that the Court deny the
23 Officers' Motion under Federal Rule of Civil Procedure 56(f) because
24 he "cannot present facts essential to justify [his] Opposition."
25 Pl.'s Sur-reply to Officers' Mot. at 8. Rule 56(f) provides that
26 the Court may deny a motion for summary judgment "[i]f a party
27 opposing the motion shows by affidavit that, for specified reasons,
28 it cannot present facts essential to justify its opposition." Fed.

1 R. Civ. P. 56(f). The party challenging summary judgment through a
 2 Rule 56(f) motion "bears the burden of showing 'what facts [he]
 3 hopes to discover to raise a material issue of fact.'" Terrell v.
 4 Brewer, 935 F.2d 1015, 1018 (9th Cir. 1991) (quoting Hancock v.
 5 Montgomery Ward Long Term Disability Trust, 787 F.2d 1302, 1306 n.1
 6 (9th Cir. 1986)). "The party seeking additional discovery also
 7 bears the burden of showing that the evidence sought exists. Denial
 8 of a Rule 56(f) application is proper where it is clear that the
 9 evidence sought is almost certainly nonexistent or is the object of
 10 pure speculation." Id. (citing Volk v. D.A. Davidson & Co., 816
 11 F.2d 1406, 1416-17 (9th Cir. 1987)).

12 Plaintiff states that evidence from "potential deponents" and
 13 "court transcripts" is necessary to support his Opposition. Pl.'s
 14 Sur-reply to Officers' Mot. at 9. However, Plaintiff has not
 15 specifically identified the facts or evidence he seeks to discover.¹²
 16 Nor has Plaintiff demonstrated that the evidence he seeks exists or
 17 that he anticipates being able to locate the "potential deponents"
 18 and secure their cooperation and testimony within a reasonable time
 19 frame. Because Plaintiff has failed to meet his burden to justify
 20 an extension of discovery, the Court **RECOMMENDS** that his Rule 56(f)
 21 motion be **DENIED**.

22 **C. Lemus' Motion for Summary Judgment**

23 In addition to joining in the Officers' Motion, Defendant Lemus

24 ¹² Petitioner seems to argue that additional transcripts or personnel
 25 records would bolster his perjury argument against Defendant Lemus. See Exhibit
 26 Explanation at 2. As previously discussed, the Court finds that Lemus' testimony
 27 at the suppression hearing was consistent with his declaration in the instant
 28 motion and, in any event, did not impact the state court ruling. Therefore, the
 Court finds that "it is clear that the evidence sought is almost certainly
 nonexistent." Terrell, 935 F.2d at 1018. To the extent Plaintiff requests
 additional discovery for his perjury argument, the Court **RECOMMENDS** that
 Plaintiff's motion be **DENIED**.

1 filed a separate motion for summary judgment in response to
2 Plaintiff's allegation that he "witnessed [the] unlawful beating,
3 [and] failed to intervene or report illegal activities." Lemus'
4 Mot. for Summ. J. at 7 (citing FAC at 2). Therein, Lemus argues
5 that he is entitled to summary judgment as a matter of law because
6 uncontroverted evidence shows that he was not present during the
7 conduct alleged by Plaintiff and, therefore, did not have an
8 opportunity to intercede. Id. at 5. Alternatively, Lemus argues
9 that Plaintiff has not produced evidence establishing a causal link
10 between the alleged inaction and Plaintiff's injuries or the alleged
11 constitutional deprivation. Id. Plaintiff responds that Lemus'
12 motion should be denied because Lemus was close enough to where
13 Wilson and Tagaban were allegedly beating Plaintiff that he could
14 have called out for them to stop and/or crossed the street and
15 intervened physically. Pl.'s Opp'n to Lemus' Mot. at 5.

16 Lemus acknowledges that "police officers have a duty to
17 intercede when their fellow officers violate the constitutional
18 rights of a suspect or other citizen." Cunningham v. Gates, 229
19 F.3d 1271, 1289 (9th Cir. 2000) (quotation omitted). However,
20 officers will be held liable for failing to intercede only if they
21 had a realistic opportunity to intercede. Id. (citing Gaudreault v.
22 Municipality of Salem, 923 F.2d 203, 207 n. 3 (1st Cir. 1990)).

23 Because this issue arises on summary judgment, Lemus bears the
24 initial burden of demonstrating that there is no genuine issue of
25 material fact. Celotex, 477 U.S. at 323. Lemus contends that
26 because he was over a block away from where the incident occurred,
27 he did not have a "realistic opportunity" to intercede. Lemus' Mot.
28 for Summ. J. at 5. In his declaration, Lemus explains that, after

1 his sales transaction with Plaintiff, he and Plaintiff walked down
2 J Street and then started walking in opposite directions when they
3 reached 16th Street. Lemus' Decl. ¶ 7. Lemus "continue[d] to
4 observe [Plaintiff] until another officer contacted him," at which
5 time Lemus was "approximately a block and a half away." Id. ¶¶ 7-8.
6 When he "felt confident that the officer did contact the person who
7 just previously provided [him] with the white rock like substance in
8 exchange for the marked twenty dollar bill, [he] continued walking
9 away." Id. ¶ 8. "Within a matter of minutes," Lemus was picked up
10 and transported back to where Plaintiff was in custody. Id. ¶ 9.
11 He asserts that he "did not observe or witness any physical contact,
12 take down or use of force by Officer Wilson or Officer Tagaban."
13 Id. ¶ 10. In light of these facts, Lemus believes summary judgment
14 is warranted.

15 Viewing the inferences to be drawn from these facts in the
16 light most favorable to Plaintiff, as this Court is bound to do,
17 Anderson, 477 U.S. at 255, the Court finds that Lemus has not
18 satisfied his initial burden. Lemus defines the issue on summary
19 judgment as whether "Officer Lemus could have perceived any use of
20 force which would constitute a violation of the Plaintiff's right to
21 be free from unreasonable force." Lemus' Sur-Reply at 4 (emphasis
22 in original omitted). By Lemus' own admission, he was only a block
23 and a half away and observed Plaintiff until he was contacted by
24 another officer. A reasonable jury could conclude that Lemus was
25 close enough to see, or at the very least, hear the altercation and
26 call out to Wilson and Tagaban to stop or to alert other officers
27 via the recording device he was wearing to stop the misconduct.
28 And, since he was not picked up right away and did not arrive back

1 at the scene until Plaintiff already was in custody, Lemus has not
 2 demonstrated that he was not in a reasonable position to intercede
 3 during the somewhat protracted time it allegedly took for Wilson to
 4 choke and trip Plaintiff and for Tagaban to arrive, beat Plaintiff
 5 twelve to fourteen times with her flashlight, and then join Wilson
 6 in arresting Plaintiff. Furthermore, even if Lemus satisfied this
 7 initial burden, Plaintiff created a material factual dispute as to
 8 Lemus' location during this alleged incident by swearing in his own
 9 affidavit that Lemus was just on the opposite side of the intersec-
 10 tion of 16th and J Streets (as opposed to a block and a half away).¹³
 11 Pl.'s Aff. at 3. It is not for this Court to weigh the evidence
 12 regarding where Lemus was standing and what he could and could not
 13 observe or hear from that vantage point. See Anderson, 477 U.S. at
 14 255; Fairbank, 212 F.3d at 531.

15 Accordingly, the Court finds that summary judgment on this
 16 basis is not appropriate and, therefore, **RECOMMENDS** that the Lemus
 17 Motion be **DENIED**.

18 **D. Failure to State a Claim Under the Fourteenth Amendment**

19 As an alternative basis for granting summary judgment,
 20 Defendants argue that Plaintiff's Fourteenth Amendment claims fail
 21 as a matter of law because claims of unreasonable search and seizure
 22 and excessive force during arrest are properly analyzed under the
 23 Fourth Amendment. Officers' Mot. for Summ. J. at 19-21.

25 ¹³ Lemus objects to the Court's consideration of Plaintiff's sur-reply,
 26 to which Plaintiff's affidavit is attached, on the grounds that Plaintiff had
 27 sufficient time to oppose the Lemus Motion and the sur-reply was not expressly
 28 authorized by the Court. Objection to Consideration of Pl.'s Sur-Reply on Lemus'
 Mot. [Doc. No. 104] at 2. However, the Court subsequently accepted the sur-reply
 for filing and afforded Lemus additional time to file a response to the same.
See Doc. No. 105.

1 A claim under the Fourteenth Amendment implicates a substantive
2 due process analysis and the Supreme Court has "always been
3 reluctant to expand the concept of substantive due process." County
4 of Sacramento v. Lewis, 523 U.S. 833, 842 (1998) (quoting Collins v.
5 Harker Heights, 503 U.S. 115, 125 (1992)). The Supreme Court thus
6 has concluded that "[w]here a particular Amendment provides an
7 explicit textual source of constitutional protection against a
8 particular sort of government behavior, that Amendment, not the more
9 generalized notion of substantive due process, must be the guide for
10 analyzing these claims." Albright v. Oliver, 510 U.S. 266, 273
11 (1994) (plurality opinion of Rehnquist, C.J.) (internal quotation
12 omitted). Where, as here, the claim alleged is for excessive force
13 arising in the context of an arrest, the claim "is most properly
14 characterized as one invoking the protections of the Fourth
15 Amendment, which guarantees citizens the right 'to be secure in
16 their persons . . . against unreasonable . . . seizures.'" Graham,
17 490 U.S. at 394. Accordingly, the Supreme Court has concluded that
18 "all claims that law enforcement officers have used excessive force-
19 deadly or not- in the course of an arrest . . . should be analyzed
20 under the Fourth Amendment and its 'reasonableness' standard." Id.
21 at 395 (emphasis in original).

22 Defendants are correct that Plaintiff's excessive force claim
23 (which is not separable from his "unreasonable search and seizure"
24 claim) under the Fourteenth Amendment fails as a matter of law.
25 Pursuant to Graham, this claim must be analyzed under the Fourth
26 Amendment. Plaintiff concedes as much, explaining that he only
27 listed the Fourteenth Amendment in his FAC because of case law
28 stating that the Fourth Amendment guarantees against unreasonable

1 search and seizure are made applicable to the states by way of the
 2 Fourteenth Amendment's due process clause. Pl.'s Opp'n to Officers'
 3 Mot. at 18. While Plaintiff's mistake is understandable and his
 4 depth of research commendable, the Court nevertheless must **RECOMMEND**
 5 that Defendants' motion for summary judgment on Plaintiff's
 6 Fourteenth Amendment claims be **GRANTED**.

7 **E. Qualified Immunity**

8 As a final, alternative basis for granting summary judgment,
 9 Defendants argue that they are entitled to qualified immunity from
 10 all of Plaintiff's claims. Officers' Mot. for Summ. J. at 21-25.
 11 Plaintiff disputes Defendants' qualified immunity analysis. Pl.'s
 12 Opp'n to Officers' Mot. at 19-21.

13 Qualified immunity shields government officials performing
 14 discretionary functions from liability for civil damages unless
 15 their conduct violates clearly established statutory or constitu-
 16 tional rights of which a reasonable person would have known.
 17 Anderson v. Creighton, 483 U.S. 635, 638-40 (1987). "Qualified
 18 immunity is 'an entitlement not to stand trial or face the other
 19 burdens of litigation.'" Saucier v. Katz, 533 U.S. 194, 200 (2001)
 20 (quoting Mitchell v. Forsyth, 472 U.S. 511, 526 (1985)), abrogated
 21 on other grounds by Pearson v. Callahan, 129 S. Ct. 808 (2009).
 22 This privilege is "an immunity from suit rather than a mere defense
 23 to liability; and like an absolute immunity, it is effectively lost
 24 if a case is erroneously permitted to go to trial." Id. at 200-01
 25 (emphasis in original) (quoting Mitchell, 472 U.S. at 526). Thus,
 26 the Supreme Court "repeatedly [has] stressed the importance of
 27 resolving immunity questions at the earliest possible stage in
 28 litigation." Id. at 201 (quoting Hunter v. Bryant, 502 U.S. 224,

1 227 (1991) (per curiam)).

2 In Saucier, the Supreme Court established a two-step inquiry
3 for determining whether an official is entitled to qualified
4 immunity. Pearson, 129 S. Ct. at 815-16; Saucier, 533 U.S. at 201.
5 "First, a court must decide whether the facts that a plaintiff has
6 alleged or shown make out a violation of a constitutional right.
7 Second, if the plaintiff has satisfied this first step, the court
8 must decide whether the right at issue was clearly established at
9 the time of defendant's alleged misconduct. Qualified immunity is
10 applicable unless the official's conduct violated a clearly
11 established constitutional right." Pearson, 129 S. Ct. at 815-16
12 (internal citations and quotations omitted). The Supreme Court has
13 determined that "while the sequence set forth [in Saucier] is often
14 appropriate, it should no longer be regarded as mandatory." Id. at
15 818. Instead, lower courts may "exercise their sound discretion in
16 deciding which of the two prongs of the qualified immunity analysis
17 should be addressed first in light of the circumstances in the
18 particular case at hand." Id.

19 Here, Plaintiff has alleged facts in his FAC which could "make
20 out a violation of a constitutional right." Pearson, 129 S.Ct. at
21 815-16. Specifically, Plaintiff alleges that Wilson grabbed his
22 throat, choked him, and repeatedly banged his head into the
23 concrete. FAC at 4. Plaintiff further alleges that Tagaban
24 repeatedly hit him on his head and shoulders with a metal flashlight
25 until he lost consciousness. Id. at 4-5. Finally, Petitioner
26 alleges that Lemus and Griffin witnessed the assault and did not
27 stop the illegal attack. Id. at 5. However, as discussed above,
28 the state court determined that Wilson and Tagaban did not use

1 excessive force in arresting Plaintiff and extracting the drug and
 2 monetary evidence from his possession and therefore Plaintiff has
 3 not and cannot establish a violation of a constitutional right.
 4 Order Denying Mot. to Suppress at 11-12; Ct. Appeal Order at 11-13.
 5 Because there are no remaining facts that create a genuine issue
 6 regarding whether these Defendants engaged in unconstitutional
 7 conduct, they are entitled to qualified immunity. See Mitchell v.
 8 Forsyth, 472 U.S. 511, 526 (1985); Johnson v. County of Los Angeles,
 9 340 F.3d 787, 793-94 (9th Cir. 2003). Similarly, Lemus and Griffin
 10 are entitled to qualified immunity because even if they witnessed
 11 the alleged conduct, the conduct did not rise to the level of a
 12 constitutional violation.

13 For the reasons set forth above, this Court finds that
 14 Plaintiff has not provided, and cannot provide, facts that establish
 15 a violation of a clearly established constitutional right and the
 16 Court, therefore, **RECOMMENDS** that Defendants' motion for summary
 17 judgment also be **GRANTED** on this alternative ground that Defendants
 18 are entitled to qualified immunity.

19 CONCLUSION

20 For the foregoing reasons, **IT IS HEREBY RECOMMENDED** that the
 21 District Court issue an Order: (1) approving and adopting this
 22 Report and Recommendation, (2) granting the Officers' Motion¹⁴,
 23

24 ¹⁴ In November 2008, Plaintiff filed a discovery motion seeking "all
 25 records of citizen complaints and disciplinary actions relating to use of
 26 excessive force by San Diego (former) Police Officer Dennis Wilson." Doc. No.
 27 72 at 2. At that time, Defendant Wilson had not yet been served with the
 28 complaint. Defendant Wilson filed an answer in February 2009. Doc. No. 97. In
 light of this Court's recommendation that the Officers' motion for summary
 judgement be granted and this case be dismissed, the Court **DENIES** without
 prejudice Plaintiff's discovery motion (Doc. No. 72). If the motion for summary
 judgement is not granted and the case proceeds against Officer Wilson, Plaintiff
 may refile his discovery motion.

1 (3) denying the Lemus Motion, and (4) denying Plaintiff's Rule 56(f)
2 motion.

3 **IT IS HEREBY ORDERED** that any written objections to this Report
4 must be filed with the Court and served on all parties **no later than**
5 **July 6, 2009**. The document should be captioned "Objections to
6 Report and Recommendation."

7 **IT IS FURTHER ORDERED** that any reply to the objections shall
8 be filed with the Court and served on all parties **no later than July**
9 **27, 2009**. The parties are advised that failure to file objections
10 within the specified time may waive the right to raise those
11 objections on appeal of the Court's order. See Turner v. Duncan,
12 158 F.3d 449, 455 (9th Cir. 1998).

13 **IT IS SO ORDERED.**

14
15 DATED: June 15, 2009

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17 BARBARA L. MAJOR
18 United States Magistrate Judge
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